



## Complaints Policy & Procedure

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*Amended in January 2024 in light of ESCC updates*

Signed.......... Richard Karn, Chair of Governors

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## Heathfield Community College Contact Details

Most concerns can be resolved at an early stage with professional dialogue at the appropriate level. The full list of who to contact for each area of the College can be found on the website: [https://www.heathfieldcc.co.uk/?page\\_id=675362/#who-contact](https://www.heathfieldcc.co.uk/?page_id=675362/#who-contact)

If it becomes necessary to escalate to a formal complaint the contact details are below:

	Name	Contact Details
School Office	Office	Email: <a href="mailto:office@heathfieldcc.co.uk">office@heathfieldcc.co.uk</a> Telephone: 01435 866066
Headteacher	Caroline Barlow	Email: <a href="mailto:cbarlow@heathfieldcc.co.uk">cbarlow@heathfieldcc.co.uk</a> Telephone: 01435 866066
Chair of Governors	Richard Karn	Email: <a href="mailto:rkarn@heathfieldcc.co.uk">rkarn@heathfieldcc.co.uk</a> Telephone: 07768 842829
Clerk to the Governing Board	Verity Clark	Email: <a href="mailto:vclark@heathfieldcc.co.uk">vclark@heathfieldcc.co.uk</a> Telephone: 01435 866066

## Introduction

The central objective of Heathfield Community College is to create and maintain a safe, happy and healthy learning environment where every pupil can achieve their full potential. The staff and governing board work in a spirit of co-operation with parents, carers and other interested parties to achieve this. Throughout any complaint process, we expect all parties to be sensitive to the needs of others involved and make all reasonable adjustments necessary to accommodate individuals' needs and ensure a positive and professional process.

In line with the requirements of section 29 of the Education Act 2002, Heathfield Community College:

- has a complaints procedure that is easily accessible, simple to use and easy to understand.
- encourages resolution of concerns by informal means wherever possible.
- addresses all points at issue and provides an effective response and appropriate redress where necessary.
- resolves issues swiftly to established timescales, impartially and in a spirit of co-operation.
- provides a fair investigation by an independent person where necessary.
- respects, as appropriate, confidentiality.
- ensures that the Governing Board regularly monitors complaints received by the school.
- acts in accordance with the requirements of the General Data Protection Regulations (GDPR) and Data Protection Act 2018

Heathfield Community College prides itself on the quality of the teaching and the pastoral care we provide for our pupils. If parents, carers or members of the public have concerns they can expect the issues they raise to be treated seriously by the school and in accordance with this policy document.

## How to Raise a concern

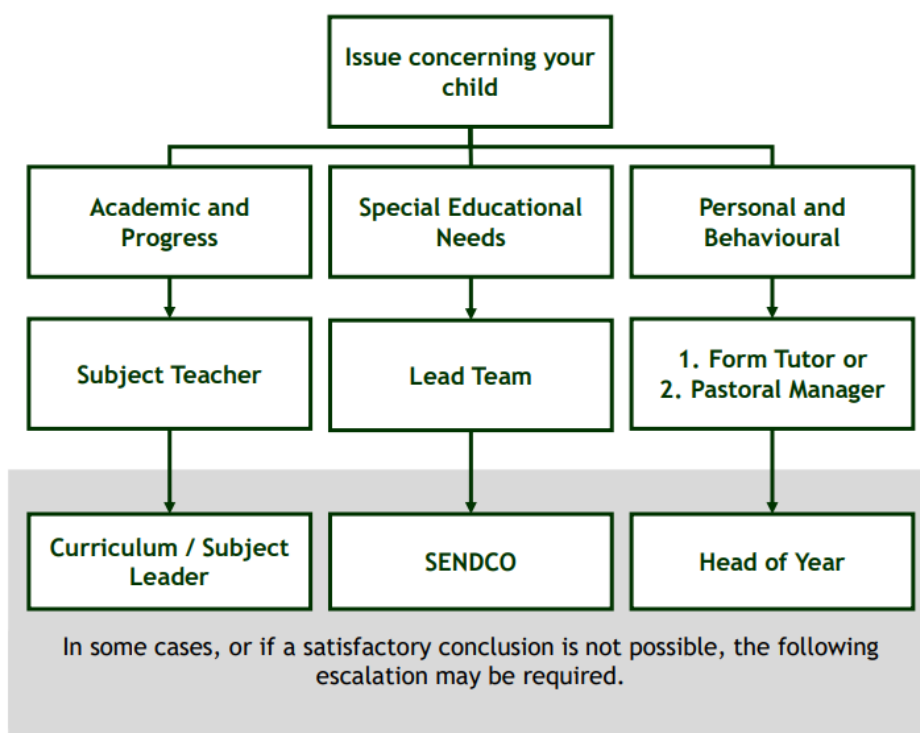
A 'concern' may be defined as '*an expression of worry or doubt over an issue considered to be important for which reassurances are sought*'.

It is in everyone's interest to resolve concerns and complaints at the earliest stage. Many issues can be resolved informally, without the need for formal procedures. Heathfield Community College takes informal concerns seriously and makes every effort to resolve the matter as quickly as possible.

The procedure for raising a concern can be seen below, with contact details for each relevant person to be found in the guidance.



## WHO to Contact



In nearly all cases, communicating face to face, between the appropriate member of staff and parent/carer is the most effective way to address concerns or complaints. A good discussion, when all parties are listening to each other and seeking resolutions, will frequently be the most effective and quickest way of resolving of issues.

The process of raising a concern will take account of the following guidance for College governors.

## Guidance from College Governors

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### OUTCOME OF CONCERN RAISED

The result of any issue raised will include clear outcomes. These will either be agreed verbally or in an email. If the outcome has not been agreed, then the concern should be taken further by following the escalation shown in the flow chart below; if this still does not resolve the issue then the following link to the [complaints procedure](#) should be used.

### USE OF SOCIAL MEDIA

Heathfield Community College considers that the promotion and continuance of a positive, healthy and safe school community ethos depends upon all members of the school community contributing to and being responsible for maintaining this. The school therefore actively encourages any individual with a concern to raise it as soon as possible within the process described.

For the same reason, the Heathfield Community College strongly discourages the use of social media in this respect, and asks parents and carers and others of the school community not to post on social media any issues which should more appropriately be dealt with within the school processes for concerns and complaints.

### UNREASONABLE OR PERSISTENT COMPLAINTS

These are defined by and managed under the guidance found within the complaints policy and procedure. The College reserves the right to act in accordance with this guidance as necessary.

**The aim is that all concerns are raised early, managed fairly and transparently and received in a collaborative spirit. The College will treat concerns raised seriously and aim to be as prompt and transparent as possible in resolving issues. All contact details on the following pages are given openly in trust that they will be used in the manner and spirit detailed above.**

## Guidance from College Governors

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### WHAT YOU CAN EXPECT FROM OUR STAFF:

Our staff actively encourage communication from parents and carers, as we continue to strive for the most reciprocal approach to support our students. Please understand that our staff are very busy through the day, so it is not always possible for them to take calls or respond immediately.

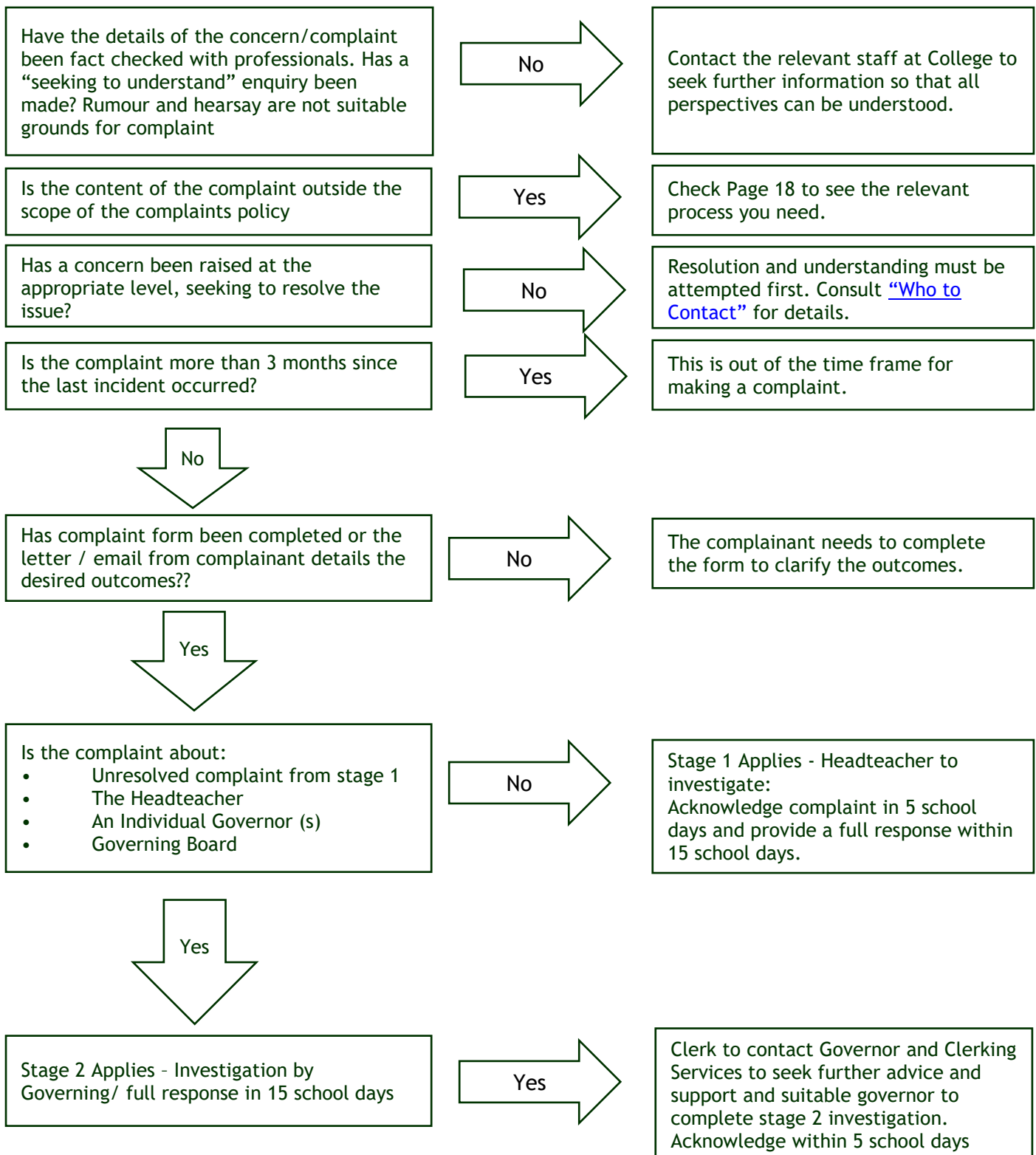
- Where possible they (or the most appropriate person) will respond within 48 hours, even if this is to acknowledge that a message has been received, before responding via phone or email with a more thorough response. However if you do not receive a response within 2 working days then please email [office@heathfieldcc.co.uk](mailto:office@heathfieldcc.co.uk)
- We will endeavour to hear your perspective and consider it fully when deciding how to respond to any circumstance, you can expect staff to ensure a range of perspectives are considered for any situation.
- We will always support with inclusive approaches (e.g. translations if necessary).
- Once the email/phone call has been received, the member of staff will consider carefully who will be the most suitable person to deal with your concern. Therefore, the person responding may not be the original contact.

### WHAT WE EXPECT OF PARENTS/CARERS:

Our staff are professionals who work incredibly hard for the benefit of our students, your children. We all have the same aim: to support students as effectively as possible. Please ensure that all interactions are conducted in a calm and reasonable manner;

- Staff should not have to engage in communication which is aggressive, angry or bullying in the course of their work.
- Governors therefore urge parents to reflect on the tone and language in all interactions so that we are able to strengthen the relationship between us rather than compromising it.
- We support staff in calmly ending a conversation or meeting if they feel uncomfortable, threatened or intimidated in any way. Should this be the case, it will be reported to Governors who may decide to take further action.
- We ask for an awareness that rarely does any one person have all the information, what you have heard or been told may not be the whole story and patience whilst any concern is explored is essential. Other perspectives exist and we have to ensure we explore all relevant information.
- Please ensure any additional needs are known and communicated.

## Before raising a complaint consider the following:



## **How to raise a complaint**

A complaint may be generally defined as '*a formal expression of dissatisfaction, however made, about actions taken or a lack of action*'. A complaint can be raised when the steps above have not addressed a concern to the satisfaction of the complainant. In such cases, Heathfield Community College will attempt to resolve the issue internally, following the stages outlined within this complaints procedure.

## **Who can make a complaint?**

Anyone can make a complaint about any facilities or services that Heathfield Community College provides, unless separate statutory procedures apply (such as exclusions or admissions), this includes:

- parents or carers of children currently at the school
- parents or carers of children no longer at the school
- members of the public
- a third party acting on behalf of the complainant. In these cases written consent will be required from the complainant before any information is disclosed.

Anonymous complaints will not normally be investigated. The headteacher or chair of governors will determine as appropriate whether the complaint warrants investigation.

## **Support through the process:**

In accordance with equality law, we will consider making reasonable adjustments if required, to enable complainants to access and complete this complaints procedure. For instance:

- providing information in alternative formats,
- assisting complainants in raising a formal complaint
- holding meetings in accessible locations

## **Dealing with Complaints - Parents of SEND students**

If you wish to raise a concern regarding the SEND provision for your child please contact a member of the SEND Lead team:

Ben Barnett, Specialist Literacy Teacher [bbarnett@heathfieldcc.co.uk](mailto:bbarnett@heathfieldcc.co.uk)

In some cases, or if a satisfactory conclusion cannot be reached this may be escalated to the Head of Learning Support and SENDCO Elaine Burgess and then to a member of the senior team. The college's complaint procedure is set out on the college website.

Contact information for the local authority SEND team can be found in the College's SEN Information report.

## **Concern or complaint?**

The College will establish the difference between a concern and a complaint. The College will take informal concerns seriously at the earliest stage to prevent them developing into formal complaints whenever possible.

The majority of concerns or complaints received will be from parents or carers regarding issues relating to an individual child or children. However, there may be occasions where concerns or complaints are received about the school's management of wider issues.

When making a complaint please follow the Dos and Don'ts outlined below and make use of the form in Appendix B.

## **DO**

- A complaint can be made in person, by letter or email, or by telephone.
- Complete the form (Appendix B) to outline the nature of the complaint.  
If you require help in completing the form, please contact the [school office](#). You can also ask third party organisations like the Citizens Advice to help you.
- Make a complaint as soon as possible after an incident arises and no later than three months afterwards.
- Complaints concerning school staff (except the headteacher) should be made to Ms C Barlow, headteacher via the school office. Mark as Private and Confidential.
- Complaints that involve or are about the headteacher should be addressed to Mr R Karn, the chair of governors, via the [school office](#). Please mark them as Private and Confidential.
- Complaints about the chair of governors, any individual governor or the whole governing board should be addressed to Mrs V Clark, the Clerk to the governing board via the [school office](#). Please mark them as Private and Confidential.
- Complainants are requested to limit the numbers of communications with a school while a complaint is being considered.
- At all times pursue conduct and communication that is reasonable, measured and proportionate. Consider the guidance in this policy on persistent, unreasonable complaints and the steps we will take if necessary.

## **Do NOT**

- Complainants should not approach individual governors to raise concerns or complaints. They have no power to act on an individual basis and it may prevent them from considering complaints at stage 3 or 4 of the procedure.
- It is not helpful if repeated correspondence is sent (either by letter, phone, email or text) as it could delay the outcome being reached.
- Concerns or complaints raised on social media will not receive a response, the College will only respond to issues raised through accepted channels and following the procedure described here. (see below)
- Do not encourage or undertake duplicate complaints or campaigns (see below)
- Attempt to make or use recordings of conversations that were obtained covertly and without the informed consent of all parties being recorded. These will not be accepted as evidence in the complaints process.

## **Use of social media to complain**

Heathfield Community College considers that the promotion and continuance of a positive, healthy and safe school community ethos depends upon the cooperation of all members of the school community. The school actively encourages any individual with a concern to raise it as soon as possible using the process described here.

For this reason, the Heathfield Community College asks parents, carers and others of the school community, not to post on social media any issues which could be dealt with within the school's processes for concerns and complaints.

## **Duplicate or campaign complaints**

After closing a complaint at the end of the complaints procedure if a duplicate complaint is received about the same matter from a connected party, the duplicate complainant will be informed the school has already considered the complaint and the local process is now complete. The new complainant will be advised to contact the DfE if there is dissatisfaction with the original handling of the complaint. If Heathfield Community College receives a large

volume of complaints which are all based on the same subject and/or from complainants unconnected with the school, the school will consider the use of a template response.

### **Resolving complaints: what happens next?**

Some complaints fall outside the school's complaints procedure, for example, staff grievances or staff conduct and/or competency (see: Page 19). In these cases, if such an issue is brought to the headteacher's or chair of governor's attention, the school will follow its own internal personnel processes. The complainant will be informed that an issue is to be considered as part of the school's personnel processes but will not be informed of the outcome of any such considerations.

Once the College has received a complaint it will follow a number of stages to resolve the process. These are :

- **Stage 1 - Review by Headteacher**
- **Stage 2 - Investigation by Governing Board**
- **Stage 3 - Governing Board Review Panel**

At each stage in the procedure, Heathfield Community College will seek to resolve the complaint. If appropriate, we will acknowledge that the complaint is upheld in whole or in part. In addition, we may offer one or more of the following:

- an explanation
- an admission that the situation could have been handled differently or better
- an assurance that we will try to ensure the event complained of will not recur
- an explanation of the steps that have been or will be taken to help ensure that it will not happen again and an indication of the timescales within which any changes will be made
- an undertaking to review school policies in light of the complaint
- an apology.

Where further investigations are necessary, new time limits will be set, and the complainant will be sent details of the new deadline with an explanation for the delay.

## **Timescales**

The school expects that complaints will be made as soon as possible after an incident arises and no later than three months afterwards. If the complaint is about a series of related incidents, they must raise the complaint within 3 months of the last incident. We will consider complaints raised outside this time frame if exceptional circumstances apply and the complaint can still be investigated in a fair manner for all involved.

We will consider complaints made outside of term time to have been received on the first school day after the holiday period.

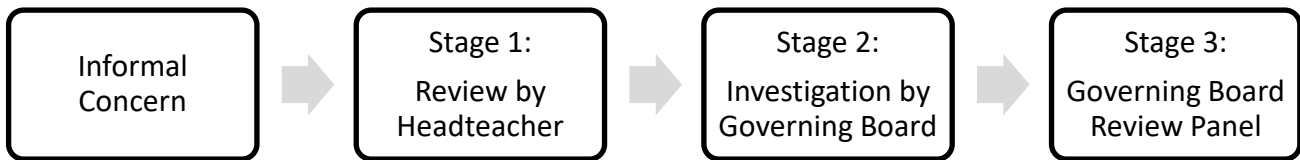
If at any point we cannot meet the timescales we have set out in this policy, we will:

- set new time limits with the complainant
- send the complainant details of the new deadline and explain the delay.

## **Withdrawal of a complaint**

If a complainant wants to withdraw their complaint, we will ask them to confirm this in writing.

## The Complaints Procedure: what are the steps?



Each stage has its own timescales and process. The full details can be read below

### **Informal**

*This does not apply to complaints against the Headteacher, a Governor or the Governing Board.*

As referred to earlier in this policy, the goal of Heathfield Community College is to create and maintain a safe, happy and healthy learning environment. The staff and governing board always seek to work in cooperation with parents, carers and other interested parties to ensure a positive school community ethos and satisfactory outcomes for those involved.

It is in everyone's interest to resolve concerns at the earliest stage and the school's experience is that many issues can be resolved by proactive and timely discussion between the appropriate people. The school therefore takes informal concerns extremely seriously and will make every effort to resolve the matter quickly and effectively.

In order to assist this, any concern should be raised as soon as possible with the relevant member of staff or the headteacher as appropriate, either in person or by letter, telephone or email. If the person with the concern is unclear who to contact or the process involved the school office will be able to assist.

If the person with the concern has a difficulty discussing it with a particular member of staff, we will respect your views. In these cases, Heathfield Community College will refer you to another staff member. Similarly, if the member of staff directly involved feels unable to deal with a concern, Heathfield Community College will refer you to another staff member. The member of staff may be more senior but does not have to be. The ability to consider the concern objectively and impartially is more important.

If, however, a resolution is not reached and/or the person with the concern is dissatisfied with the outcome then they may wish to escalate the complaint to Stage 1 of the Complaints Procedure.

### **Stage 1 - Review by Headteacher**

*This does not apply to complaints against the Headteacher, a Governor or the Governing Board. The headteacher may delegate the investigation to another member of the school's senior leadership team but not the decision to be taken.*

The complaint must be made to Ms C Barlow (headteacher) via the [school office](#). This may be done in person, by letter (preferably on the [complaint form](#)), by email or telephone. The headteacher will record the date the complaint is received and will acknowledge receipt in writing, by letter or email, within 5 school days. Within this response, Ms C Barlow (headteacher) if needed will seek to clarify the nature of the complaint, what remains unresolved and what outcome the complainant would like to see. The headteacher will indicate if a face to face meeting is the most appropriate way to secure clarification or whether the complainant should respond by letter or email.

At the conclusion of the investigation, Ms C Barlow (headteacher) will provide a formal written response within 15 school days of the receipt of the complaint. If Ms C Barlow (headteacher) is unable to meet this deadline, they will provide the complainant with an update and revised response date.

The response will refer to actions taken to investigate the complaint and an explanation of the outcome and the reason (s) for it. Where appropriate, it will include details of actions Heathfield Community College will take to resolve the complaint and any other actions the school will take to improve policy and practice.

Ms C Barlow (headteacher) will advise the complainant of how to escalate their complaint should they remain dissatisfied with the outcome of Stage 1. Should the complainant wish to escalate their complaint to Stage 2, they must do so within 10 school days of the date of the formal written response setting out the outcome of the Stage 1 process.

## **Stage 2 - Investigation by Governing Board**

Complaints at this stage are in one of three categories:

1. The complainant is not satisfied with the outcome at stage 1.
2. **The complaint is about the headteacher, or a member of the governing board (including the Chair or Vice-Chair).**
3. The complaint is about:
  - the Chair and Vice Chair or
  - the majority of the governing board or
  - the entire governing board

For all 3 categories above the complainant must write to or email (preferably on the [complaint form](#)) the clerk to the governing board via the [school office](#) to request that their complaint is considered at Stage 2. They should set out the details of the complaint and include appropriate evidence. The complainant should also specify what they feel would resolve the complaint, and how they feel the previous stage of the procedure has not addressed their complaint sufficiently if this applies.

The clerk will record the date the complaint is received and will acknowledge receipt in writing, by letter or email, within 5 school days.

Complaints in categories 1 and 2 above will be investigated by Mr R Karn chair of governors or a suitably skilled governor. For complaints received in category 3, the clerk will seek advice from the local authority as to how the complaint should be dealt with and the complainant will be advised accordingly.

The chair of governors / nominated governor will investigate the complaint and contact the complainant if they feel a face-to-face meeting would be helpful.

At the conclusion of the investigation, the chair of governors / nominated governor will provide a formal written response within 15 school days of the receipt of the complaint. If they are unable to meet this deadline, they will provide the complainant with an update and revised response date.

The response will refer to actions taken to investigate the complaint and an explanation of the outcome and the reason(s) for it. Where appropriate, it will include details of actions Heathfield Community College will take to resolve the complaint and any other actions the school will take to improve policy and practice.

Where further investigations are necessary, new time limits will be set, and the complainant will be sent details of the new deadline with an explanation for the delay.

The chair of governors / investigator will advise the complainant of how to escalate their complaint should they remain dissatisfied with the outcome of Stage 2. Should the complainant wish to escalate their complaint to Stage 3, they must do so within 10 school days of the date of the formal written response setting out the outcome of the Stage 2 process.

The chair of governors/investigator will advise the complainant of how to escalate their complaint should they remain dissatisfied with the outcome of Stage 2. Should the complainant wish to escalate their complaint to Stage 3, they must do so within 10 school days of the date of the formal written response setting out the outcome of the Stage 2 process.

The complainant should outline why they are not happy with the outcomes from stage 2 and/or if their outcomes have changed from the original complaint. The clerk may request that the complaint be more specific about these details to ensure that a panel is the correct course of action

### **Stage 3 - Governing Board Review Panel**

This is the final stage of Heathfield Community College complaints procedure.

The complainant must write to or email (preferably on the [complaint form](#)) the Clerk of the governing board within 10 school days of the date of the formal written response setting out the outcome of the Stage 2 process. For category 1 complaints, requests outside of this timeframe will only be considered if the chair of governors considers exceptional circumstances apply. For category 2 and 3 complaints, requests outside of this timeframe, the clerk will seek advice from the local authority as to how this matter should be dealt with.

The complainant should set out the details of their complaint and include appropriate evidence. They should also specify what they feel would resolve the complaint, and how they feel the previous stages of the procedure have not addressed their complaint sufficiently.

### **Stage 3: Timeline**

1.	Within 5 school days of receipt of request the clerk will arrange a governing board review panel.
2.	The panel sets a date* to meet as soon as reasonably practical but no longer than 15 school days from the date of the acknowledgement of the letter of complaint. However, where further investigations are necessary, new time limits may be set. The complainant will be sent details of the new date and an explanation for the delay.
3.	<p>Any evidence and documentation that the complainant and school wish to submit in relation to their complaint must be sent to the clerk to the governing board at least 5 school days before the meeting.</p> <p>Complainants are responsible for submitting any papers that they wish the panel to consider. The clerk is not responsible for pulling the papers together on behalf of the complainant.</p> <p>All relevant papers* will be circulated to the complainant and the school representatives attending the meeting, as well as the governing board Stage 3 panel. Recordings of conversations that were obtained covertly and without the informed consent of all parties being recorded will not be accepted as evidence within this procedure.</p>

4.	If the complainant or school wishes to call witnesses the names of these witnesses must be advised to the clerk at least 5 school days before the meeting. The complainant and school are responsible for ensuring that the witnesses are aware of the time / date / location of the meeting. The complainant and the school are responsible for advising the clerk of their own witness and neither party should assume a witness has been called unless they have requested it. If the complainant wishes to be accompanied by a suitable companion, this should not be legal representation as the Governing Board Review Panel is not a form of legal proceedings, the name of the suitable companion must be advised to the clerk 5 school days before the meeting.
5.	If the Governing Board Review Panel cannot meet because the end of term is less than 15 days from the date of acknowledgement of the letter of complaint, it must meet within 10 days of the start of the new term.
6.	The Governing Board Review Panel will communicate their findings to all parties concerned within 10 school days of their meeting.

\* The complainant must have reasonable notice of the date of the review panel; however, the review panel reserves the right to convene at their convenience rather than that of the complainant and reach a conclusion in the interests of drawing the complaint to a close. If the complainant rejects the offer of 3 proposed dates, the Clerk will set a date. The hearing will go ahead using written submissions from both parties.

\*\* Some papers maybe withheld or redacted due to the nature of the content.

### Stage 3: Panel Membership

The panel consists of at least 3 members of the governing board with no prior involvement or knowledge of the complaint. One of the members will act as the Chair of the panel. If there are fewer than 3 governors from Heathfield Community College available, the Clerk will source any additional, independent governors through another local school or through East Sussex Governor and Clerking Services in order to make up the panel.

### Stage 3: Hearing Process

The Governing Board Review Panel will consider all stages of the complaint. This is to make sure that decisions are not taken in isolation and there is a mechanism by which decisions are considered independently. If a new issue arises the panel will use its discretion to decide if it is appropriate to consider and comment upon it; this may require a short adjournment of the meeting. However the panel may decide it is not appropriate to review any new complaints at this stage or for any evidence unrelated to the initial complaint to be included. In these circumstances, new complaints must be dealt with from the informal stage of the procedure.

The aim of the hearing, which will be held in private, will always be to resolve the complaint and achieve reconciliation between the school and the complainant. However, it must be recognised that the complainant might not be satisfied with the outcome if the hearing does not find in their favour. It may only be possible to establish the facts and make recommendations that will satisfy the complainant that his or her complaint has been taken seriously.

At the review panel hearing, the complainant and representatives from the school, as appropriate, will be present. Each will have an opportunity to set out written submissions prior to the meeting. Any written material provided by the complainant or the school/headteacher will be seen by everyone on the Governing Board Review Panel and attending the hearing. However, in some cases papers maybe withheld or redacted due to the nature of the content.

- The complainant must be allowed to attend the panel hearing and be accompanied by a suitable companion if they wish; this should not be legal representation as the Governing Board Review Panel is not a form of legal proceedings. However, if a school employee is

called as a witness in a complaint meeting, they may be entitled to ask to be supported by their union.

- Representatives from the media are not permitted to attend.
- Both the complainant and the school will have the opportunity of putting their case within a reasonable specified time limit without undue interruption so that the issues are addressed and key findings of fact established.
- At the meeting, the complainant and the school will have the opportunity to give statements and present their evidence, and witnesses will be called as appropriate to present their evidence.
- The panel, the complainant and the school representative will be given the chance to ask and reply to questions. Once the panel considers they have all the information required the complainant, school representatives and witnesses will be asked to leave and evidence will then be considered.
- The Clerk will be present and record the outcome of the hearing.

The Panel will consider the complaint and all the evidence presented. The Panel can:

- Recommend appropriate action to be taken to resolve the complaint
- Where appropriate, recommend changes to the school's systems or procedures to prevent similar issues in the future.

If the panel recommend an apology, they should be clear as to why previous apologies (if they have been made) were insufficient. Schools should endeavour to send apologies promptly or give them in person at an appropriate time.

Panels cannot determine the timelines for schools to enact their recommendations although they may suggest certain issues are more pressing than others.

If the complaint is upheld in whole or in part, the Panel will:

- Recommend appropriate action to be taken to resolve the complaint
- Where appropriate, recommend changes to the school's systems or procedures to prevent similar issues in the future.

If the panel recommend an apology, they should be clear as to why previous apologies (if they have been made) were insufficient. Schools should endeavour to send apologies promptly or give them in person at an appropriate time.

Panels cannot determine the timelines for schools to enact their recommendations although they may suggest certain issues are more pressing than others.

The Chair of the Panel will provide the complainant and the school with a full explanation of their decision and the reason(s) for it, in writing within 10 school days. The letter will include details of how to contact the School Complaints and Compliance Unit (SCCU), which investigates complaints relating to maintained schools on behalf of the secretary of state.

Please see section on [Further Recourse](#)

### **Further Recourse**

If the complainant is unsatisfied with the outcome of the school's complaints procedure, they can refer their complaint to the School Complaints Unit (SCU), which investigates complaints relating to maintained schools on behalf of the secretary of state.

The SCU will not re-investigate the matter of the complaint. It will look at whether the school's complaints policy and any other relevant statutory policies that the school holds were adhered to. The SCU also looks at whether the school's statutory policies adhere to education legislation. It may direct the school to re-investigate the complaint where it is clear the school has acted unlawfully or unreasonably.

For more information or to refer a complaint, see the following webpage:

<https://www.gov.uk/complain-about-school>

We will include this information in the outcome letter to complainants.

## **Unreasonable, Persistent or Duplicate Complaints**

Heathfield Community College is committed to dealing with all complaints fairly and impartially, and to providing a high-quality service to those who complain. However, we do not expect our staff to tolerate unacceptable behaviour and will take action to protect staff from any behaviour that is abusive, offensive or threatening. The college is a professional working environment where all staff have the right to be treated respectfully and with professional courtesy even if parties disagree. The following actions will be taken if complaints are made in a tone, manner or process which is deemed to be unreasonable, persistent or repetitive.

### **Unreasonable or persistent complaints**

Most complaints raised will be valid, and therefore we will treat them seriously. However, a complaint may be deemed unreasonable if the person:

- Makes a complaint in a manner that is obsessive, persistent, harassing, prolific, defamatory or repetitive.
- Introduces trivial or irrelevant information which the complainant expects to be taken into account and commented on, or raises large numbers of detailed but unimportant questions, and insists they are fully answered, often immediately and to their own timescales.
- Pursues a valid complaint, but in an unreasonable manner.
- Knowingly provides false information.
- Makes unsubstantiated complaints about staff who are trying to deal with the issues, and seeks to have them replaced.
- Insists on pursuing a complaint that has been found to be unfounded, or out of scope of the complaints procedure.
- Changes the basis of the complaint during the investigation.
- Makes a complaint in a manner to cause disruption, annoyance or excessive demands on school time
- uses threats, including of violence, or aggressive forms of communication to intimidate
- uses abusive, offensive or discriminatory language
- publishes personal information, or information regarding a complaint, on social media or other public forums.
- Seeks unrealistic outcomes, or a solution that lacks any serious purpose or value.

### **Steps Heathfield Community College will take**

## **1. Maintain reasonable professional approaches**

We will take every reasonable step to address the complainant's concerns, and give them a clear statement of our position and their options. We will maintain our role as an objective arbiter throughout the process, including when we meet with individuals. We will follow our complaints procedure as normal (as outlined above) wherever possible.

## **2. Put in place communications strategies**

- Give the complainant a single point of contact via an email address
- Limit the number of times the complainant can make contact, such as a fixed number per term
- Ask the complainant to engage a third party to act on their behalf if necessary, such as Citizens Advice
- Put any other communication strategy in place as necessary.

If the complainant continues to contact the school in a disruptive way, we may put additional communications strategies in place. We may:

## **3. Stop responding**

We may stop responding to the complainant if all of these factors are met:

- We believe we have taken all reasonable steps to help address their concerns
- We have provided a clear statement of our position and their options
- The complainant contacts us repeatedly, and we believe the intention is to cause disruption or inconvenience and / or he or she is making substantially the same points each time

Where we stop responding, we will inform the individual that we intend to do so. We will also explain that we will still consider any new complaints they make.

## **4. Inform Police**

In response to any serious incident of aggression or violence, we will immediately inform the police and communicate our actions in writing. This may include barring an individual from our school site.

## **5. Barring from the School Premises**

In response to any serious incident of aggression or violence, the concerns and actions taken will be put in writing immediately and the police informed. This may include banning an individual from Heathfield Community College.

Although fulfilling a public function, schools are private places. The public has no automatic right of entry. Heathfield Community College will therefore act to ensure they remain a safe place for pupils, staff and other members of their community.

If a parent's behaviour is a cause for concern, Heathfield Community College can ask him/her to leave school premises. In serious cases, the headteacher or the local authority can notify them in writing that their implied licence to be on school premises has been temporarily revoked subject to any representations that the parent may wish to make.

Whenever possible, the headteacher or chair of governors will discuss any concerns with the complainant informally before making a judgement that their complaint is unreasonable.

If the behaviour continues the headteacher will write to the complainant explaining that their behaviour is unreasonable and asking them to change it. For complainants who excessively contact Heathfield Community College causing a significant level of disruption, we may specify methods of communication and limit the number of contacts in a communication plan. This will usually be reviewed after 6 months.

Anyone wishing to complain about being barred can do so, by letter or email, to the headteacher or chair of governors. However, complaints about barring cannot be escalated to the Department for Education. Once the school's own complaints procedure has been completed, the only remaining avenue of appeal is through the Courts; independent legal advice must therefore be sought.

### **Duplicate complaints**

If we have resolved a complaint under this procedure and receive a duplicate complaint on the same subject from a partner, family member or other individual, we will assess whether there are aspects that we hadn't previously considered, or any new information we need to take into account.

If we are satisfied that there are no new aspects, we will:

- Tell the new complainant that we have already investigated and responded to this issue, and the local process is complete
- Direct them to the DfE if they are dissatisfied with our original handling of the complaint

If there are new aspects, we will follow this procedure again.

## **Complaints outside the scope**

Some complaints will be outside the scope of this procedure as there are separate statutory procedures to deal with such issues. These include:

<b>Complaints outside the scope</b>	<b>Who to contact</b>
<ul style="list-style-type: none"><li>• Admissions to schools</li><li>• Statutory assessments of Special Educational Needs (SEN)</li><li>• School re-organisation proposals</li></ul>	Concerns about admissions, statutory assessments of Special Educational Needs, or school re-organisation proposals should be raised with East Sussex County Council.
<ul style="list-style-type: none"><li>• Matters likely to require a Child Protection Investigation</li></ul>	Complaints about child protection matters are handled under our child protection and safeguarding policy and in accordance with relevant statutory guidance.
<ul style="list-style-type: none"><li>• Exclusion of children from school</li></ul>	Further information about raising concerns about exclusions is available in the <a href="#">School discipline and exclusion guidance</a> . Complaints about the application of the behaviour policy can be made through the school's complaints procedure.
<ul style="list-style-type: none"><li>• Whistleblowing</li></ul>	<p>We have an internal whistleblowing procedure for all our employees, including temporary staff and contractors.</p> <p>The Secretary of State for Education is the prescribed person for matters relating to whistleblowers in education who do not want to raise matters direct with their employer. Referrals can be made at: <a href="http://www.education.gov.uk/contactus">www.education.gov.uk/contactus</a>.</p> <p>Volunteer staff who have concerns about our school should complain through the school's complaints procedure. You may also be able to complain direct to the LA or the Department for Education (see link above), depending on the substance of your complaint.</p>
<ul style="list-style-type: none"><li>• Staff grievances</li></ul>	These matters will be addressed under the school's internal grievance procedures.
<ul style="list-style-type: none"><li>• Staff Conduct and/or Competency Complaints</li></ul>	<p>Complaints about staff will be dealt with under the school's internal personnel procedures, if appropriate.</p> <p>Complainants will not be informed of any outcomes of the complaint or action taken in relation to a staff member as a result of a complaint. However, the complainant will be notified that the matter is being addressed.</p>

<ul style="list-style-type: none"> <li>Complaints about services provided by other providers who may use school premises or facilities.</li> </ul>	Schools should direct complainants to follow the external provider's own complaints procedure.
<ul style="list-style-type: none"> <li>Complaints about the curriculum</li> </ul>	Please contact the Department for Education at <a href="http://www.education.gov.uk/contactus">www.education.gov.uk/contactus</a>
<ul style="list-style-type: none"> <li>Complaints about collective worship</li> </ul>	<p>Complaints about the content of the daily act of collective worship (DACW) should be addressed to:</p> <ul style="list-style-type: none"> <li><a href="#">the local Standing Advisory Council on Religious Education</a></li> <li>other relevant body, e.g. the appropriate Diocese: <ul style="list-style-type: none"> <li><a href="#">Diocese of Chichester</a></li> <li><a href="#">Diocese of Arundel and Brighton</a></li> <li><a href="#">Methodist Schools</a></li> </ul> </li> </ul>
<ul style="list-style-type: none"> <li>Withdrawal from the curriculum</li> </ul>	<p>Parents and carers can withdraw their child from any aspect of Religious Education (RE), including the DACW. They do not have to explain why.</p> <p>If parents or carers are not satisfied with the handling of a request to withdraw their child from RE or the DACW, schools should advise them to follow their complaints procedure. The right of withdrawal does not apply to other areas of the curriculum where religious matters may be spontaneously raised by pupils or arise in other subjects such as history or citizenship.</p> <p>Should parents wish to withdraw their child from sex and relationship education they should refer to the schools Sex and Relationship Education policy.</p>

If other bodies are investigating aspects of the complaint, for example the police, local authority (LA) safeguarding teams or Tribunals, this may impact on our ability to adhere to the timescales within this procedure or result in the procedure being suspended until those public bodies have completed their investigations.

If a complainant commences legal action against Heathfield Community College in relation to their complaint, we will consider whether to suspend the complaints procedure in relation to their complaint until those legal proceedings have concluded.

## **Appendix A: Roles and Responsibilities**

### **Complainant**

The complainant will get a more effective and timely response to their complaint if they:

- Follow these procedures
- Co-operate with the school throughout the process, and respond to deadlines and communication promptly
- Treat all those involved with respect
- Explain the complaint in full as early as possible
- Co-operate with the school in seeking a solution to the complaint
- Ask for assistance as needed
- Refrain from publicising the details of their complaint on social media and respect confidentiality.

### **Investigator**

An individual will be appointed to look into the complaint, and establish the facts. They will:

- Interview all relevant parties, keeping notes
- Consider records and any written evidence and keep these securely
- Prepare a comprehensive report to the headteacher or complaints committee which includes the facts and potential solutions.

The investigator's role is to establish the facts relevant to the complaint by:

- Providing a comprehensive, open, transparent and fair consideration of the complaint through:
  - Sensitive and thorough interviewing of the complainant to establish what has happened and who has been involved
  - Interviewing staff and children/young people and other people relevant to the complaint
  - Consideration of records and other relevant information
  - analysing information.
- Liaising with the complainant and the complaints co-ordinator as appropriate to clarify what the complainant feels would put things right.

The investigator should:

- Conduct interviews with an open mind and be prepared to persist in the questioning
- Keep notes of interviews or arrange for an independent note taker to record minutes of the

meeting

- Ensure that any papers produced during the investigation are kept securely pending any appeal
- Be mindful of the timescales to respond
- Prepare a comprehensive report for the headteacher or complaints committee that sets out the facts, identifies solutions and recommends courses of action to resolve problems. The headteacher or complaints committee will then determine whether to uphold or dismiss the complaint and communicate that decision to the complainant, providing the appropriate escalation details.

### **Clerk to the Governing Body**

The Clerk will:

- Be the contact point for the complainant and the governing board review panel, including circulating the relevant papers and evidence before complaints committee meetings
- Arrange the complaints hearing
- Record the outcome of the hearing
- Notify all parties of the committee's decision.

### **The panel chair will:**

- Chair the meeting, ensuring that everyone is treated with respect and courtesy throughout.
- Make sure all parties see the relevant information, understand the purpose of the panel, and can present their case.
- Ensure the meeting is conducted in an appropriate manner, is not adversarial, and that, if all parties are invited to attend, everyone is treated with respect and courtesy. If this is not the case the chair can stop the meeting; either party may also request that the chair stops the meeting if they feel the meeting is adversarial.
- Seek to put complainants who may not be used to speaking at such a meeting are put at ease. This is particularly important if the complainant is a child/young person.
- Ensure the remit of the panel is explained to the complainant.
- Ensure written material is seen by everyone in attendance, provided it does not breach confidentiality or any individual's rights to privacy under Data Protection Policies and GDPR.
- If a new issue arises the panel chair must call a short adjournment of the meeting to discuss the appropriateness of this issue with the other panel members. Due consideration should be given to either parties ability to answer questions on any new issues which they may not have been prepared for. If either party requires more time to prepare, the new issues must not be considered during the meeting and may require additional follow up e.g. a further meeting or new written statements. This may delay the outcome of the panel and all parties should be made aware of revised deadlines.
- Ensure both the complainant and the school are given the opportunity to make their case and seek clarity, either through written submissions ahead of the meeting or verbally in the meeting itself.
- Enable the issues to be addressed and key findings of fact are made if appropriate.
- Ensure the committee is open-minded and acts independently.

- Check no member of the committee has an external interest in the outcome of the proceedings or any involvement in an earlier stage of the procedure.
- Liaise with the clerk prior to the meeting and after the meeting to ensure that the procedure is being adhered to.

## **Panel Member**

Panel members should be aware that:

- The meeting must be independent and impartial and should be seen to be so. No governor may sit on the panel if they have had a prior involvement in the complaint or in the circumstances surrounding it.
- The aim of the meeting should be to resolve the complaint and achieve reconciliation between the school and the complainant.
- The complainant might not be satisfied with the outcome if the meeting does not find in their favour. It may only be possible to establish the facts and make recommendations.
- Many complainants will feel nervous and inhibited in a formal setting
- Parents/carers often feel emotional when discussing an issue that affects their child.
- Extra care needs to be taken when the complainant is a child/young person and present during all or part of the meeting. Careful consideration of the atmosphere and proceedings should ensure that the child/young person does not feel intimidated. The panel should respect the views of the child/young person and give them equal consideration to those of adults. If the child/young person is the complainant, the panel should ask in advance if any support is needed to help them present their complaint. Where the child/young person's parent is the complainant, the panel should give the parent the opportunity to say which parts of the meeting, if any, the child/young person needs to attend. However, the parent should be advised that agreement might not always be possible if the parent wishes the child/young person to attend a part of the meeting that the committee considers is not in the child/young person's best interests. The welfare of the child/young person is paramount.

### Key points of your complaint

Please summarise the key issues for you	What has been the impact on you / child / other? <i>Please provide evidence where possible</i>

### Timeline - *please summarise the sequence of events - in date/time order*

Please give clear references and indications of documents, records, policies and recorded communications that help us understand your point of view and your case.

Date	Who	What Action (including documents / records / evidence)	Outcome / Response?

### Your desired outcome(s)

What are you hoping to achieve?	What benefits / impact would this have on the wider school environment